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COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

Date/Time Stamp

RECEIVED

SECRETARY OF THE SENATE

PUELIC RECORDS

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Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Alaura Ervin	
Senator Tim S Employing Office/Committee:	Scott
Travel Expenses Paid by (List all sources):	Aspen Institute Inc.
May 10-12, 2019 Travel Date(s):	
	Sponsor Travel Certification Form,
	Certification Form, Senate Attendee List
Purpose of Amendment (describe the reason for a The Post travel submission needed to	mending original submission):
······	<u> </u>
-	
8/26/2019	De M5
(Date)	(Signature of Traveler)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee MUST also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

Sp	onsor(s) of the trip (please list all sponsors): The Aspen Institute Inc. (Socrates Program) ("AISP")
De	scription of the trip: The AISP is sponsoring a Socrates seminar to discuss leadership,
	sponsible governance and the role of Congress.
Da	tes of travel: May 10 -12, 2019
	ice of travel: Queenstown, Maryland
Nε	me and title of Senate invitees: See attached.
Ιc	ertify that the trip fits one of the following categories: (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip. - OR -
	(B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (see question 9).
X	I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
_	- AND -
X	I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
l c	ertify that:
X	The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for <i>de minimis</i> lobbyist involvement. - AND -
X	

9.	USE ONLY IF YOU CHECKED QUESTION 6(B) I certify that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:
	 (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member officer, or employee on any segment of the trip. OR -
	 □ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee on any segment of the trip (see questions 6 and 10). - OR -
	(C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee at any point throughout the trip.
10.	USE ONLY IF YOU CHECKED QUESTION 9(B) If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:
11.	An itinerary for the trip is attached to this form. I certify that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.
12.	Briefly describe the role of each sponsor in organizing and conducting the trip:
	The Aspen Institute Socrates Program is the sole sponsor of the seminar and organized and conducted
	the trip (including selected the participants, developed the agenda, planned the seminar and coordinated
	the travel). (See attached Appendix)
13.	Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission: The Aspen Institute is an educational and policy studies non-partisan, non-profit organization whose
	mission is to foster leadership based on enduring values and provide a non-partisan forum for the
	mission is to foster leadership based on enduring values and provide a non-partisan forum for the exchange of ideas. The Socrates Program is a program of the Aspen Institute. (See attached Appendix)
14.	
14.	exchange of ideas. The Socrates Program is a program of the Aspen Institute. (See attached Appendix)
14.	exchange of ideas. The Socrates Program is a program of the Aspen Institute. (See attached Appendix) Briefly describe each sponsor's prior history of sponsoring congressional trips:
14.	exchange of ideas. The Socrates Program is a program of the Aspen Institute. (See attached Appendix) Briefly describe each sponsor's prior history of sponsoring congressional trips: The Aspen Institute has a nearly forty year history of conducting non-partisan educational congressional

The Aspen Institute organizes numerous educational activities, including briefings, roundtables, forums						
and conferences for	a diverse range of atter	ndees including federa	I and state policym	akers, business and		
organization leaders	s, members of the press	and the general public	c. (See attached A	pendix.)		
Total Expenses for E	Each Participant:	•				
	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses		
Good Faith estimate	\$78 for bus	\$236 for two nights (\$118 per night)	\$142	\$47 per day for conference room fees (includes meeting facilities, set up/take down,		
Amounts				cleaning)		
State whether a) the participation or b) the congressional partic	trip involves an event the trip involves an event ipation:	that is arranged or org	ganized specifically	with regard to		
State whether a) the participation or b) the congressional participation	ne trip involves an event ipation:	that is arranged or org	ganized specifically	with regard to		
State whether a) the participation or b) the congressional participation. B) The trip involves. Reason for selecting.	ne trip involves an event ipation: an event that is organized the location of the event	that is arranged or organized specifically with reg	anized specifically ard to congression	al participation.		
State whether a) the participation or b) the congressional participation. B) The trip involves. Reason for selecting.	ne trip involves an event ipation: an event that is organize	that is arranged or organized specifically with reg	anized specifically ard to congression	al participation.		
State whether a) the participation or b) the congressional participation. B) The trip involves. Reason for selecting.	ne trip involves an event ipation: an event that is organized the location of the event	that is arranged or organized specifically with reg	anized specifically ard to congression	al participation.		
State whether a) the participation or b) the congressional participation. B) The trip involves. Reason for selecting. The conference certain and moderator(s).	ne trip involves an event ipation: an event that is organized the location of the event	that is arranged or orgodesically with reg	anized specifically ard to congression	al participation.		
State whether a) the participation or b) the congressional participation. B) The trip involves Reason for selecting. The conference certain and moderator(s).	ne trip involves an event ipation: an event that is organized the location of the event there is close to Washington	that is arranged or orged specifically with reg	anized specifically ard to congression	al participation.		
State whether a) the participation or b) the congressional participation. B) The trip involves Reason for selecting. The conference certain and moderator(s).	the location of the even ter is close to Washington	that is arranged or orged specifically with reg	anized specifically ard to congression	al participation.		
State whether a) the participation or b) the congressional participation. B) The trip involves Reason for selecting. The conference certain and moderator(s). Name and location of the ways and the conference certain and the conference	the location of the even ter is close to Washington	that is arranged or orged specifically with reg	anized specifically ard to congression	al participation.		

Center property.

21.	Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:				
	Lodging expenses equal the per diem rate.				
	Meal expenses are less than the per diem rate				
22.	Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:				
	Round-trip transportation will be provided by chartered, coach-class bus between Washington D.C. and				
	the conference center.				
23.	I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).				
24.	List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:				
	None				
25.	I hereby certify that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you must include a cattlpleted signature page for each additional sponsor): Signature of Travel Sponsor:				
	Name and Title: Elllot Gerson, Executive Vice President, Policy & Public Program				
	Name of Organization: The Aspen Institute				
	Address: 2300 N Street NW, Washington DC 20037				
	Telephone Number: 202-736-5859 (Lisa Jones, Deputy General Counsel)				
	Fax Number: 202-467-0790				
	E-mail Address: lisa.jones@aspeninstitute.org				

Appendix to Private Sponsor Travel Certification Form, Aspen Institute Socrates Program for the Emerging Governance Leaders Seminar, May 10-12, 2019

Question 12. Briefly describe the role of each sponsor in organizing and conducting the trip (response continued) — The Aspen Institute Socrates Program received general, unrestricted funding from the Democracy Fund to support the Socrates Program. The funding was not earmarked for this trip. Democracy Fund did not play a role in organizing or planning the conference, including, but not limited to the content, agenda, moderators, attendees or other logistics.

Questions 13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to the mission (response continued) —The Socrates Program seeks to provide a forum for emerging leaders from various professions to convene and explore contemporary issues through expert-moderated dialogue. The purpose of the trip is to conduct a non-partisan educational seminar on leadership, responsible governance and the role of Congress that encourages off-the-record, candid exchanges of ideas to support staffers' learning and development.

Question 15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips) (response continued) - Socrates Program organizes seminars every year bringing together a diverse group of professional to explore issues, discuss current topics and encourage dialogue and exchange of ideas.



#5. Name and titles of Senate invitees:

Ms. Elizabeth Coats
Staff Assistant
U.S. Senate Committee on Appropriations

Mr. Anthony Mitchell Legislative Correspondent Office of Senator Jeff Merkley

Ms. Alaura Ervin
Deputy Legislative Assistant
Office of Senator Tim Scott

Mr. Oliver Riquelme .
Legislative Correspondent
Office of Kirsten Gillibrand

Mr. Rachit Choksi
Oversight & Investigations Counsel
U.S. Senate Committee on Environment and
Public Works

Mr. Wilson Shirley
Speech Writer
Office of Senator Roger Wicker